



PATENT  
450100-03167

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Yasuaki YAMAGISHI et al.  
Serial No. : 09/839,872  
Filed : April 20, 2001  
For : TRANSMITTING APPARATUS, TRANSMITTING METHOD,  
RECEIVING APPARATUS, RECEIVING METHOD,  
TRANSMITTING AND RECEIVING SYSTEM, AND  
TRANSMITTING AND RECEIVING METHOD  
Art Unit : 2814

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on August 16, 2001

Grace L. Pan, Reg. No. 39,440

Name of Applicant, Assignee or  
Registered Representative

  
Signature

August 16, 2001

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated June 18, 2001  
on the above application, a Declaration duly signed by the  
inventors, and a check in the amount of the required \$130.00  
surcharge. Also enclosed, commencing on a separate sheet, is a  
new Abstract, not exceeding 150 words, as required in said Notice

to File Missing Parts under 37 CFR 1.72(b). Please cancel the original abstract. By separate cover, we are filing the Assignment and the \$40.00 fee for recording same.

Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that this application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

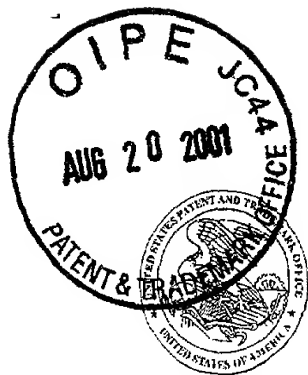
FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Grace L. Pan  
Reg. No. 39,440  
Tel. (212) 588-0800

Enclosure

SCANNED, # 12



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,872	04/20/2001	Yasuaki Yamagishi	450100-03167

CONFIRMATION NO. 4338

## FORMALITIES LETTER



\*OC000000006192322\*

FROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK, NY 10151

Date Mailed: 06/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b))

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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